Final Evaluation Report

Scheme Details

<table>
<thead>
<tr>
<th>Scheme</th>
<th>BES 6001 - Framework Standard for Responsible Sourcing (Issue 3)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Scheme Operator</td>
<td>BRE Global</td>
</tr>
<tr>
<td>BRE Reference Number</td>
<td>RSCS-14-0001</td>
</tr>
<tr>
<td>BREEAM Status</td>
<td>Recognised</td>
</tr>
<tr>
<td>Date Issued</td>
<td>02/09/2014</td>
</tr>
</tbody>
</table>

The following table summarises BRE Global’s verification report for ‘BES 6001 - Framework Standard for Responsible Sourcing (Issue 3) scheme’ against BREEAM responsible sourcing evaluation criteria.
<table>
<thead>
<tr>
<th>Criteria</th>
<th>Mandatory Criteria met</th>
<th>BRE Verification Score</th>
<th>Justification for Score</th>
</tr>
</thead>
</table>
| A1 Standard setting                          | Yes                    | 1                      | A.1.1 Criteria requirements partially addressed  
No evidence was found to confirm conformity to ISO/IEC Guide 59. However, the standard setting process was found to be generally consistent with ISEAL Principles for credible and effective Sustainability Standards Systems.  
Evidence provided: BES 6001 Framework Standard for Responsible Sourcing (Issue 3) - May 2014.                                                                                                                                 |
| A.1.2 Criteria requirements fully addressed  |                        |                        | A.1.2 Criteria requirements fully addressed  
Satisfactory evidence provided to show the multi-stakeholders consultation was conducted and availability of scheme schedules freely and publicly.  
Available at [www.bre.co.uk/page.jsp?id=3104](http://www.bre.co.uk/page.jsp?id=3104)                                                                 |
| A2 Performance target setting                | Yes                    | 2                      | A.2.1 Criteria requirements fully addressed.  
Satisfactory evidence provided to show that stakeholders representing environmental, social and economic interests have been consulted.  
Evidence provided: The 'Participating Organisation list provided on page 6 of BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.                                                                 |
|                                             |                        |                        | A.2.2 Criteria requirements fully addressed.  
Evidence provided in Section 4 of BES 6001 Annual Report 2012/2013 on page 2-3 shows that targets are reviewed annually.                                                                                                       |
| A3 Certification bodies                      | Yes                    | 1                      | A.3.1 Criteria requirements fully addressed.  
BS EN 45011 has been superseded by ISO 17065, However a 3 year transition period (Dec `12 to Dec `15) is permitted to effect this changeover.  
UKAS schedules for BRE Global indicates that BRE Global is accredited to EN 45011:1998 The UKAS report in July 2014 stated on page 3 that BRE Global has amended processes and procedures ready for the implementation of ISO17065:2012.  
A.3.2 Criteria requirements partially addressed.  
UKAS schedule shows that the accreditation covers the organisation not the scheme.                                                                                                                                  |
<table>
<thead>
<tr>
<th>Section</th>
<th>Topic</th>
<th>Yes/No</th>
<th>Notes</th>
</tr>
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<tbody>
<tr>
<td>A4</td>
<td>Accreditation bodies</td>
<td>Yes</td>
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<td>Criteria requirements fully addressed.</td>
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<td></td>
<td>Satisfactory evidence to show compliance.</td>
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<td></td>
<td>Evidence provided: UKAS Certification Body Schedules lists BRE Global as an accredited certification body.</td>
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<td>A5</td>
<td>Audit results &amp; transparency</td>
<td>Yes</td>
<td>2</td>
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<td>Criteria requirements fully addressed.</td>
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<td>Satisfactory evidence provided to show compliance.</td>
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<tr>
<td>B1</td>
<td>Scope of assessment</td>
<td>Yes</td>
<td>1</td>
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<td></td>
<td>Criteria requirements fully addressed</td>
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<td></td>
<td>BES6001 Issue 2 and 3 included the fully list of the stakeholders that participated in the consultation of the standards. The assessment scope in Clause 3.3.1 is part of the standards and therefore demonstrated the scope of the traceability has been agreed with and communicated to a broad range of the stakeholders</td>
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<tr>
<td></td>
<td>Criteria requirements partially addressed</td>
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<tr>
<td></td>
<td>Evidence provided demonstrates that constituent materials are not traceable back to supplier.</td>
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<td></td>
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<tr>
<td></td>
<td>Evidence provided: Clause 3.3.1- BES 6001 Framework Standard for Responsible Sourcing (Issue 3) - May 2014</td>
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<td></td>
<td>Criteria requirements fully addressed</td>
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<td></td>
<td>Satisfactory evidence provided to show availability of publicly accessible documented justification for scope of traceability.</td>
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<td></td>
<td>Evidence provided: Section 3.3.1 – BES 6001 Issue 3 Guidance Note available at <a href="http://www.bre.co.uk/filelibrary/Materials/FINALGuidance-Document-BES6001-issue-3-web.pdf">www.bre.co.uk/filelibrary/Materials/FINALGuidance-Document-BES6001-issue-3-web.pdf</a></td>
<td></td>
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<tr>
<td>B2</td>
<td>Mixing sources</td>
<td>Yes</td>
<td>1</td>
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<tr>
<td></td>
<td>Criteria requirements partially addressed.</td>
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<tr>
<td></td>
<td>Evidence provided shows untraced materials are permitted.</td>
<td></td>
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<tr>
<td></td>
<td>Evidence provided: Clause 3.3.1 - BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
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<tr>
<td>B3 Traceability mechanism</td>
<td>Yes</td>
<td>2</td>
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<tr>
<td><strong>B.2.2 Criteria requirements fully addressed.</strong></td>
<td>Clause 3.3.1 requires 60% of mass and volume of constituent materials to be traced back to the supplier indicating untraced materials are limited to 40%. Evidence provided: Clause 3.3.1 - BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
<td></td>
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<tr>
<td><strong>B.2.3 Criteria requirements fully addressed.</strong></td>
<td>Satisfactory evidence provided to demonstrate that basic controls are in place. Evidence provided: Clauses 3.2.4, 3.3.2 and 3.3.3 - BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014</td>
<td></td>
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<tr>
<td><strong>B.3.1 Criteria requirements fully addressed.</strong></td>
<td>Satisfactory evidence provided to show that both ‘Supply chain management’ and “chain of custody” are acceptable methods used to achieve traceability. Evidence provided: Clause 3.2.4 and 3.3.1 - BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014</td>
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<tr>
<td><strong>B.3.2 Criteria requirements fully addressed</strong></td>
<td>Clause 3.3.1 of BES 6001 refers to clause 7.5.3 and 7.4 of ISO 9001. This requires each organisation covered by the requirements of clause 3.3 of BES 6001 (supply chain management) to maintain a properly documented system of traceability. This may be full chain-of-custody if &quot;appropriate&quot; (see ISO 9001, clause 7.5.3).</td>
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<tr>
<td><strong>B.3.3 Criteria requirements fully addressed</strong></td>
<td>BES 6001 provides some flexibility in the choice of traceability (see Clause 3.3.1) and is available freely and publicly.</td>
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<td>B4 Control of claims</td>
<td>Yes</td>
<td>2</td>
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<tr>
<td><strong>B.4.1 Criteria requirements fully addressed.</strong></td>
<td>Satisfactory evidence provided to show clearly defined mechanisms are in place for controlling all claims. Evidence provided: PN100 XP107E - BRE Complaints and Appeals Procedure - May 2014 PN242_RS General Rules and Guidance for the Use of the BRE Global Certification Mark and Responsible Sourcing Badge of Recognition (all)</td>
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<td>C1 Sustainability aspects &amp; issues</td>
<td>n/a</td>
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<tr>
<td><strong>a. Recyclability and recycled content</strong></td>
<td>Criteria requirements partially addressed. Satisfactory evidence provided to demonstrate the issue is considered in the scheme. Evidence provided: Clause 3.4.3 - BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td><strong>b. Renewability</strong></td>
<td>Criteria requirements partially addressed. Satisfactory evidence provided to demonstrate the issue is considered in the scheme. Evidence provided: Clause 3.4.3 - BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td><strong>c. Harvesting or extraction impacts</strong></td>
<td>Criteria requirements partially addressed. In the supplementary element of clause 3.4.3 BRE Global awards organisation for providing evidence of environmental stewardship (i.e. the responsible planning and management of natural resources through conservation and sustainable use) at the source of raw material. The document is freely and publicly available.</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td><strong>d. Greenhouse gas emissions</strong></td>
<td>Criteria requirements partially addressed. Satisfactory evidence provided to demonstrate the issue is considered in the scheme. Evidence provided: Clause 3.4.1 - BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
<td>1</td>
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<tr>
<td><strong>e. Energy usage</strong></td>
<td>Criteria requirements partially addressed. Satisfactory evidence provided to demonstrate the issue is considered in the scheme. Evidence provided: Clause 3.4.2 - BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
<td>1</td>
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<tr>
<td><strong>f. Water usage</strong></td>
<td>Criteria requirements partially addressed. Satisfactory evidence provided to demonstrate the issue is considered in the scheme. Evidence provided: Clause 3.4.5 - BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
<td>1</td>
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<td></td>
<td>Criteria requirements fully addressed</td>
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<td><strong>g. Transport impacts</strong></td>
<td>Satisfactory evidence provided to demonstrate the issue is considered in the scheme.</td>
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<td></td>
<td>Satisfactory evidence provided to demonstrate the issue is considered at organisational and supply chain level</td>
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<td></td>
<td>Evidence provided: Clause 3.4.8 - BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
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<td></td>
<td>Clause 3.4.8 a &amp; b- BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
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<td><strong>h. Biodiversity</strong></td>
<td>n/a</td>
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<td></td>
<td>Biodiversity is not considered in the current Issue</td>
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<td>Satisfactory evidence is provided to demonstrate that the issue was removed from the BES6001 Issue 3 in the stakeholders' consultation process.</td>
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<tr>
<td><strong>i. Eco toxicity</strong></td>
<td>2</td>
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<td></td>
<td>Criteria requirements fully addressed</td>
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<td></td>
<td>Satisfactory evidence provided to demonstrate the issue is considered in the scheme</td>
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<td></td>
<td>The requirement of risk assessment of chemicals in and used in making the product in clause 3.4.7 indicated that the issue is considered at supply chain level.</td>
<td></td>
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<tr>
<td></td>
<td>Evidence provided: Clause 3.4.7 -BES 6001 Framework -Standard for Responsible Sourcing (Issue 3) – May 2014).</td>
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<td><strong>j. Land remediation</strong></td>
<td>1</td>
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<tr>
<td></td>
<td>Criteria requirements partially addressed.</td>
<td></td>
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<td></td>
<td>In the supplementary section of clause 3.4.3 BES 6001 awards organisation for providing evidence of environmental stewardship (i.e. the responsible planning and management of natural resources through conservation and sustainable use) at the source of raw material. The document is freely and publicly available.</td>
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<tr>
<td><strong>k. Waste management</strong></td>
<td>1</td>
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<tr>
<td></td>
<td>Criteria requirements partially addressed.</td>
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<td></td>
<td>Satisfactory evidence provided to demonstrate the issue is considered in the scheme for the assessed organisation, but not cover the wide supply chain.</td>
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<td></td>
<td>Evidence Provided: Clause 3.4.4- BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
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<tr>
<td>l. Safe and healthy working conditions</td>
<td>1</td>
<td>Criteria requirements partially addressed. Evidence submitted indicates the issue is considered for the traceable part of the supply chain. Evidence submitted does not demonstrate how compliance with the issue is achieved in untraceable part of the supply chain. Evidence provided: Clause 3.3.3: BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
<td></td>
</tr>
<tr>
<td>m. Slave labour</td>
<td>1</td>
<td>Criteria requirements partially addressed. Evidence submitted indicates the issue is considered for the traceable part of the supply chain. Evidence submitted does not demonstrate how compliance with the issue is achieved in untraceable part of the supply chain. Evidence provided: Clause 3.2.4: BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
<td></td>
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<tr>
<td>n. Child labour</td>
<td>1</td>
<td>Same as ‘m’ above</td>
<td></td>
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<tr>
<td>o. Fair wages</td>
<td>1</td>
<td>Same as ‘m’ above</td>
<td></td>
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<tr>
<td>p. Working hours and holidays</td>
<td>0</td>
<td>Criteria requirements not addressed</td>
<td></td>
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<tr>
<td>q. Freedom to join trade unions (freedom of association)</td>
<td>1</td>
<td>Same as ‘m’ above</td>
<td></td>
</tr>
<tr>
<td>r. Equality in respect of gender, ethnicity, religion, political persuasion</td>
<td>1</td>
<td>Same as ‘m’ above</td>
<td></td>
</tr>
<tr>
<td>s. Complaints and prosecutions</td>
<td>1</td>
<td>Criteria requirements partially addressed Satisfactory evidence provided to demonstrate the issue is considered in the scheme. Evidence provided: Clause 3.4.10: BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014</td>
<td></td>
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<tr>
<td>t. Skills and training</td>
<td>1</td>
<td>Criteria requirements partially addressed Satisfactory evidence provided to demonstrate the issue is considered in the scheme. Evidence provided: Clause 3.4.9: BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
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<tr>
<td>Category</td>
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<tr>
<td>u. Community relations</td>
<td>Criteria requirements partially addressed. Satisfactory evidence provided to demonstrate the issue is considered for assessed organisation in the scheme, but not cover the wide supply chain. Evidence provided: Clause 3.4.10: BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>v. Corruption</td>
<td>Criteria requirements partially addressed. Satisfactory evidence provided to demonstrate the issue is considered in the scheme. No evidence provided to demonstrate the issue is considered at supply chain level as well. Evidence provided: Clause 3.4.11: BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>D1 Continual improvement</td>
<td>Criteria requirements fully addressed. Satisfactory evidence provided to show compliance. Evidence provided: Clause 3.4: BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
<td>2</td>
<td>D.1.1</td>
</tr>
<tr>
<td>D2 Reporting by assessed organisations</td>
<td>Criteria requirements fully addressed. Satisfactory evidence provided to show scheme includes requirements for KPIs to be reported by the certificate holder. The UK concrete sector publicly reports BES 6001 KPIs, evidence can be found at: <a href="http://www.sustainableconcrete.org.uk/pdf/MB_Performance_Report_Feb2010.pdf">http://www.sustainableconcrete.org.uk/pdf/MB_Performance_Report_Feb2010.pdf</a> Evidence provided: Clause 3.4: BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
<td>2</td>
<td>D.2.1</td>
</tr>
<tr>
<td>D3 Verification of reported KPIs</td>
<td>Criteria requirements fully addressed. Satisfactory evidence provided to demonstrate that the scheme requires clients to seek independent third party verification of claims. Evidence provided: Clause 3.4.1: BES 6001 Framework Standard for Responsible Sourcing (Issue 3) – May 2014.</td>
<td>2</td>
<td>D.3.1</td>
</tr>
</tbody>
</table>
| **D4 Reporting by the scheme** | Yes | 2 | D.4.1 Criteria requirements fully addressed  
Satisfactory evidence provided to show annual performance reporting.  
Evidence: BES 6001 Annual Report 2012/2013 |